

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
20 September 2001 (20.09.2001)

PCT

(10) International Publication Number
WO 01/69870 A1

(51) International Patent Classification⁷: **H04L 12/56,**
H04B 1/10

Nai, Sum: 885 W. Saint Johns Place, Palatine, IL 60067 (US). **HARRIS, John, M.**; 1108 W. Dickens Avenue, #2, Chicago, IL 60614 (US).

(21) International Application Number: PCT/US01/05208

(22) International Filing Date: 16 February 2001 (16.02.2001)

(74) Agents: **WILLIAMS, Lalita, P.** et al.; Motorola Inc., Intellectual Property Dept., 1303 East Algonquin Road, Schaumburg, IL 60196 (US).

(25) Filing Language: English

(81) Designated States (*national*): JP, KR.

(26) Publication Language: English

(30) Priority Data:
09/524,066 13 March 2000 (13.03.2000) US

(84) Designated States (*regional*): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR).

(71) Applicant: **MOTOROLA INC.** [US/US]; 1303 East Algonquin Road, Schaumburg, IL 60196 (US).

Published:
— with international search report

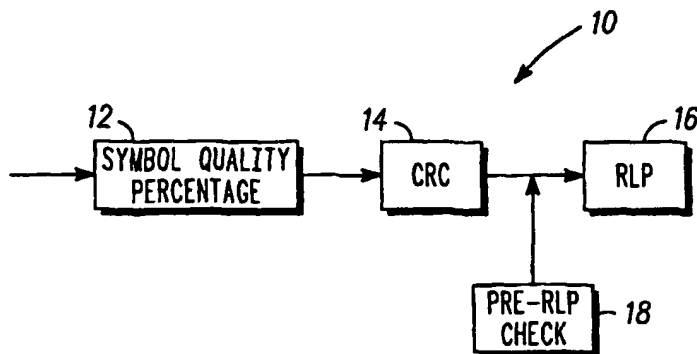
(72) Inventors: **HETHERINGTON, Mark, D.**; 1701 Kenn-
ington Lane, Crystal Lake, IL 60014 (US). **PROCTOR,**
Lee, M.; 1002 Hilary Lane, Cary, IL 60013 (US). **WONG,**

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.



(54) Title: METHOD AND APPARATUS FOR CHECKING COMMUNICATED DATA

WO 01/69870 A1



(57) Abstract: An apparatus (18) and method (100) provide RLP data checking. The apparatus (10) includes a frame serialization stage (20) and a bad frame filter (22) that detects possible bad frames and reclassifies these bad frames as erasures. In this manner, bad frame data are not passed to the RLP layer (16), and unnecessary resetting and resynchronization steps are avoided.

METHOD AND APPARATUS FOR CHECKING COMMUNICATED DATA**Field of the Invention**

The present invention relates generally to
5 communication systems, and more particularly, the
present invention relates to a method and apparatus
for checking radio link protocol (RLP) data within a
communication system.

10 Background of the Invention

Within a Code Division Multiple Access (CDMA),
and other communication system types, communicated
information, either voice or data, is carried
between communication resources, e.g., a
15 radiotelephone and a base station, on a
communication channel. Within broadband, spread
spectrum communication systems, such as CDMA based
communication systems in accordance with Interim
Standard IS-95B, a spreading code is used to define
20 the communication channel.

In addition to a primary channel, the
communication channel may also include one or more
secondary channels. The secondary channels provide
an ability to increase bandwidth by permitting
25 transmission of information within these secondary
channels during transmission of data, e.g., coded
voice or data, within the primary channel. The
primary channel is used to carry, either at a full
transmission rate or some sub-rate, data such as
30 coded voice or data. When not carrying data, the
primary channel carries an idle data pattern.

The secondary channels may carry virtually any form of data, including what is referred to as RLP data. However, the secondary channels do not always carry data. For example, according to the IS-95B standard for high-speed data services over CDMA cellular systems, a given call may concatenate up to 7 supplemental channels with the fundamental channel to transmit high bandwidth data. The supplemental channels are required by IS-95B to either transmit at full rate or to mute the transmitter. The problem is that when the supplemental channels are muted, the receiver has no way of knowing this and the receiver attempts to decode the air signals as if a full rate frame was sent. Muting the transmitter results in a random data pattern, and it is this random data pattern that the receiver attempts to decode. The frame CRC is intended to screen these decoded random data frames; however, the IS-95B frame CRC is only 12-bits. This means for random data, the frame CRC will pass a frame as valid on average once every 4096 muted frames.

Interim Standard IS-707A specifies the transmission of RLP data, but provides very little error checking of data frames received. When corrupt data frames are received by the RLP layer after falsely passing the frame CRC, the typical result is a reset of the RLP layer. This causes data loss and requests for retransmission by higher layer protocols. When the RLP layer receives the corrupt data frame, it will detect missing data frames from the currently expected sequence number

up to the sequence number of the corrupt data frame and will request retransmission of these data frames from the peer RLP layer. Since the frames detected as missing by one RLP layer were never really sent
5 by the peer RLP layer, the peer RLP layer cannot comply with the request. According to the IS-707A standard, the RLP layers need to resynchronize via the RLP reset procedure. In most cases, this will result in data loss to the higher layers, which
10 produces the overall effect of degraded bandwidth. This can also affect triggering of the dormant timer for packet data since the retransmission requests and the RLP reset procedure appear as activity and reset the timer. Timer reset can cause calls that
15 are effectively idle, to remain active and consume resources.

Thus, there is a need for a method and apparatus for providing RLP data checking in a communication system.

20

Brief Description of the Drawings

FIG. 1 is a block diagram of a supplemental band processing apparatus incorporating an RLP data
25 checking stage in accordance with a preferred embodiment of the invention.

FIG. 2 is a block diagram illustrating an apparatus for providing RLP data checking in accordance with a preferred embodiment of the
30 invention.

FIG. 3 is a block diagram illustration of the frame serialization function illustrated in the block diagram of FIG. 2.

FIG. 4 is a flow chart illustrating a method of RLP data checking in accordance with a preferred embodiment of the invention.

Detailed Description of the Preferred Embodiments

10 An apparatus and method provide RLP data checking. In accordance with preferred embodiments of the invention, an apparatus includes a frame serialization stage and a bad frame filter that detects possible bad frames and reclassifies these
15 bad frames as erasures. In this manner, bad frame data are not passed to the RLP layer, and unnecessary resetting and resynchronization steps are avoided.

 With reference to FIG. 1, a data processing
20 apparatus 10 includes a symbol quality assessment stage 12, a frame CRC stage 14 and a RLP data layer 16. The symbol quality assessment stage 12 evaluates data frames utilizing a weighting procedure to ensure that no more than a
25 predetermined percent of the bad frames are passed on to the CRC stage 14. To overcome problems associated with passing random frames from the CRC stage 14, it is possible to adjust the threshold of the symbol quality assessment stage 12. However,
30 such an adjustment would necessarily result in rejecting a higher percentage of good frames.

Rejecting good frames requires higher layer activity to request retransmission of these frames. Thus, adjusting the symbol quality assessment stage 12 is not a viable solution to the problem of passing bad frames to the RLP layer 16. Therefore, to overcome the problem of passing bad frames to the RLP data layer 16, disposed between the CRC stage 14 and the RLP data layer 16 is a pre-RLP data checking stage 18.

FIG. 2 illustrates the pre-RLP data checking stage 18, which, as can be seen from FIG. 2, includes a frame serialization stage 20 and a bad frame filter stage 22. The frame serialization stage 20 receives RLP frames from the IS-95 layer, and serializes them according to the frame sequence number associated with each frame.

The process of frame serialization is illustrated in FIG. 3, wherein a plurality of frames having sequence numbers 24 are received by the frame serialization stage 20. The frame serialization stage further receives expected sequence number data, $V(R)$, from the RLP layer. An output of the frame serialization stage 20 is a serialized sequence of frames 26. In the example illustrated in FIG. 3, the expected sequence number, $V(R)$ is 11, the received frames are numbered 12, 13, 15, 16 and 99 along with an erasure frame 28 and an invalid frame 30. The frame serialization stage 20 is operable to insert a place holding frame "X" in place of potentially missing frames. Thus, the serialized frame sequence 32 is shown in FIG. 3 as

"X 12 13 X 15 16 99" where "Xs" have been inserted for the apparently missing frames 11 and 14. Once the sequence has been serialized, the sequenced frames may be filtered by the bad frame filter stage

5 22. In accordance with a preferred embodiment of the invention, the frame bearing sequence number "99" would be reclassified as an erasure because it is substantially out of sequence in comparison with the expected sequence number and the maximum number

10 of frames that could be received within a particular time slot.

A process 400 for filtering used by the bad frame filter stage 22 is illustrated in the flow chart of FIG. 4. A feature of the bad frame filter

15 stage 22 is the reclassification of suspected bad frame data as erasures. Erasures are recognized by the RLP layer, and do not cause initiation of reset procedures. The process 400 starts at step 402 and a consecutive erasure/invalid counter, Ci, and a

20 consecutive serial erasure counter, Cs, are reset. For each frame received, step 406, the process first determines whether the frame is a new RLP data frame or not, step 408. RLP control frames and retransmitted data frames are not filtered by the

25 process since RLP already protects against errors for these types of frames. For the purposes of this process, these frame types are treated as erasure/invalid frames and the consecutive erasure counters are incremented, step 422.

30 If the received frame is a new data frame, the consecutive erasure counter for the given channel is

compared against a threshold value, step 410, to determine whether the frame is eligible for being discarded. If it is, then the frame sequence number of the received frame is compared with the next
5 expected sequence number $V_s(R)$, step 412, and to the number of consecutive erasures from the serialized frame sequence 32, step 414, to determine whether the frame is valid or not. If the frame is considered to have an invalid sequence number, the
10 consecutive reclassification counter is incremented, step 416, and compared to a threshold, step 418. If the threshold has not been exceeded, the frame is discarded, step 420, and the consecutive erasure counters are updated, step 422. Otherwise the frame
15 is considered valid since it is possible that the frame was received within the elapsed time. In this case, the consecutive reclassification frames counter C_n is reset, step 424, $V_s(R)$ is updated to be one greater than the valid sequence number, steps
20 426 and 428 and the counters C_i and C_s are reset. It should be noted that $V_s(R)$ is reinitialized to $V(R)$ (from the RLP layer) at the beginning of each 20 millisecond (ms) frame block.

The proposed process has been designed with
25 several configurable parameters to alter its behavior. The consecutive channel erasure threshold (T_i) may be used to prevent reclassification when a given channel is transmitting on most of its timeslots. The consecutive reclassification
30 threshold is designed to protect the algorithm in the event that a gap in RLP sequence number does

develop without the appropriate amount of elapsed time. The sequence number guard pad allows the algorithm to allow some small deviations in sequencing before declaring the frame invalid and
5 forcing reclassification.

The process described could also be expanded to delay decisions on frame reclassification until the next set of frames has arrived. This would introduce some delay in the process; however,
10 information regarding further sequence numbers may strengthen the algorithm and reduce the probability of bad decisions. For example, if a group of frames appear substantially out of sequence, it may be because one or more data packet(s) has/have been
15 delayed. The next sequence of frames may reveal that an otherwise out of sequence frame, is the correct next frame.

The invention has been described in terms of several preferred embodiments. These preferred
20 embodiments are meant to be illustrative of the invention, and not limiting of its broad scope, which is set forth in the following claims. For example, the invention may find application in systems designed in accordance with the IS-95A
25 single variable rate channel structure or in accordance with the IS-95C channel structure, or in any radio-frequency environment where RLP is utilized. One of ordinary skill in the art will appreciate that the invention will benefit virtually
30 any communication system where discontinuous transmission (DTX) is utilized as such system will

be susceptible to passing with some probability random data as valid data. In accordance with the invention, and in such system, suspect omitted are detected and place holder frames inserted and/or

5 suspect bad data frames are detected and reclassified, for example, as erasure frames, before being passed to the data detector.

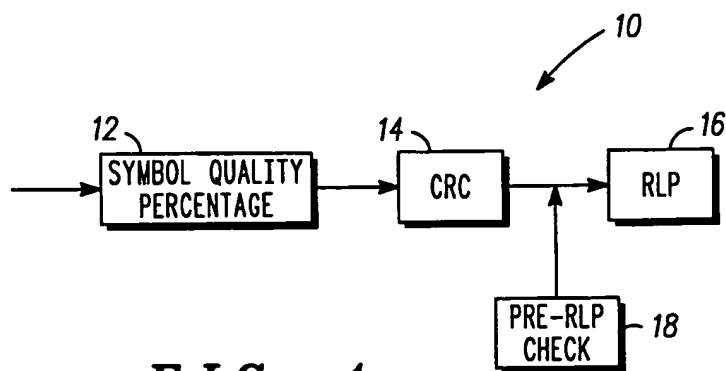
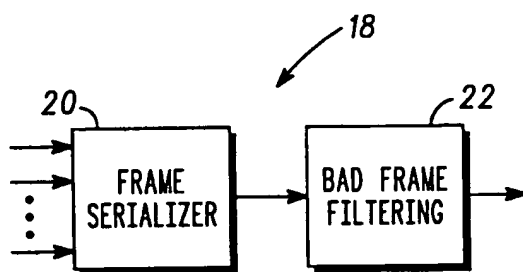
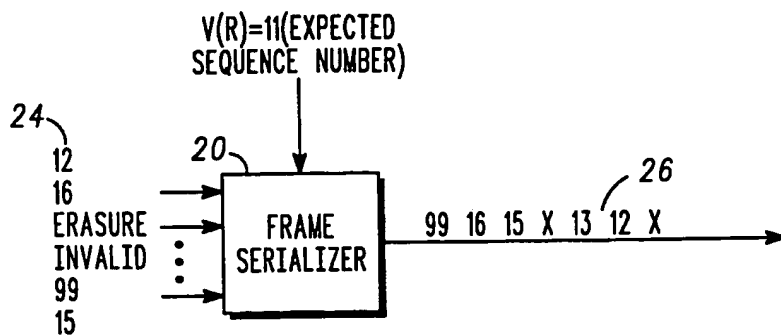
CLAIMS

1. A method of providing RLP data checking comprising:
 - 5 receiving a plurality of RLP data frames;
identifying from the RLP data frames a suspect frame, reclassifying the suspect frame to form a reclassified frame, and
passing the reclassified frame to a RLP data
10 detector.
2. The method of claim 1, wherein the step of reclassifying the suspect frame comprises reclassifying the bad frame as an erasure.
15
3. The method of claim 2, wherein the step of reclassifying the suspect frame comprises inserting a place holder frame.
- 20 4. The method of claim 2, wherein the step of reclassifying the suspect frame comprises characterizing received a valid data frame as an invalid data frame responsive to a data frame sequence parameter.
25
5. The method of claim 2; further comprising the step of sequencing the plurality of RLP data frames according to data frame sequence identifiers to form a data frame sequence.
30

6. The method of claim 5, further comprising the step of modifying the data frame sequence responsive to a data frame sequence parameter.
- 5 7. The method of claim 2, further comprising the step of validating the data frame sequence.

8. An apparatus for RLP data checking comprising:
a frame serialization stage, the frame
serialization stage coupled to receive a plurality
of RLP data frames, each of the plurality of RLP
5 data frames having a sequence number and the frame
serialization stage being operable to provide a
sequenced data frame output;
a frame filter coupled to the frame
serialization stage to receive the sequenced data
10 frame output and to provide a filtered data frame
output; and
wherein, place holder frames are inserted in
the sequenced data frame output for suspected
omitted frames, and erasure frames are inserted in
15 the filtered data frame output for suspected bad
data frames.
9. The apparatus of claim 8, wherein the frame
serialization stage is coupled to receive a next
20 expected sequence number.
10. The apparatus of claim 8, wherein the frame
serialization stage is coupled to an output of a
frame CRC check stage and the frame filter is
25 coupled to an input of an RLP data layer.

1/2

*FIG. 1**FIG. 2**FIG. 3*

2/2

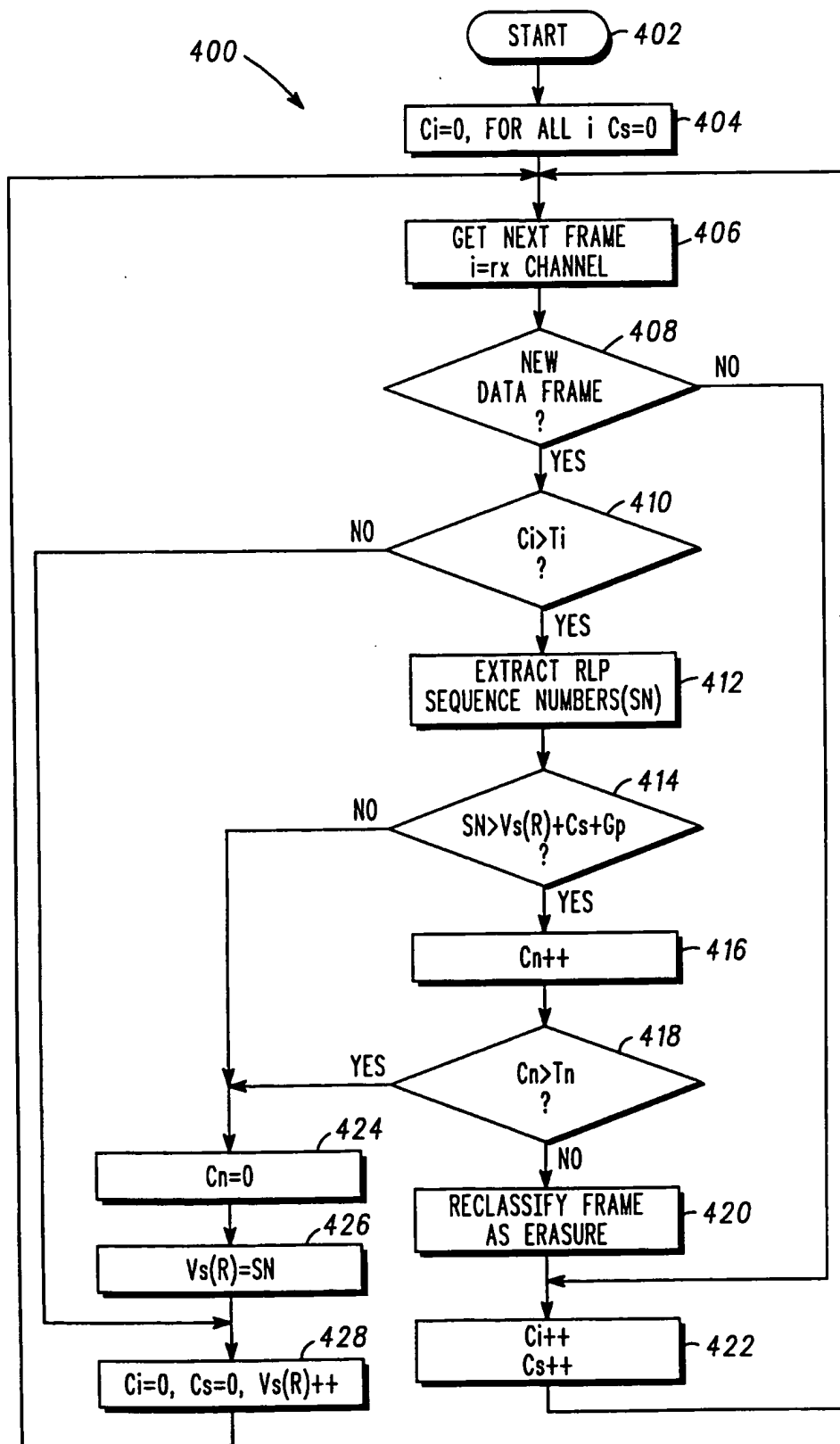


FIG. 4

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US01/05208

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : H04L 12/56; H04B 1/10

US CL : 370/394, 456; 714/6, 48

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 370/394, 456; 714/6, 48

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y.P	US 6,011,796 A (REZAIIFAR et al) 04 January 2000 (04.01.2000), see entire document	1-10

☐ Further documents are listed in the continuation of Box C.

☐ See patent family annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

25 April 2001 (25.04.2001)

Name and mailing address of the ISA/US

Commissioner of Patents and Trademarks

Box PCT

Washington, D.C. 20231

Facsimile No. (703)305-3230

Date of mailing of the international search report

12 JUN 2001

Authorized officer

Chin Wellington

Telephone No. (703) 308-4366